

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kazunori SAKURAI et al.

Group Art Unit: 2874

Application No.: New Rule 53(b) Continuing Application    Examiner: K. Wood  
of Serial No. 09/593,462 filed  
June 14, 2000

Filed: August 5, 2003

Docket No.: 105943.01

For: OPTICAL MODULE AND METHOD OF MANUFACTURE THEREOF,  
SEMICONDUCTOR DEVICE, AND OPTICAL TRANSMISSION DEVICE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.

Application No. New Rule 53(b) Continuing  
Application of Serial No. 09/593,462  
filed June 14, 2000

2. The references were cited by or submitted to the Office in parent application No. 09/593,462, filed June 14, 2000, which is relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 CFR §1.98(d).

Respectfully submitted,



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Date: August 5, 2003

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DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 105943.01	APPLICATION NO. New Rule 53(b) Continuing Application of Serial No. 09/593,462 filed 6/14/00	
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		APPLICANT(S) Kazunori SAKURAI et al.				
		FILING DATE August 5, 2003		GROUP 2874		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME		CLASS	SUB CLASS
	5,763,900	6/9/98	WANG			
	5,416,872	5/95	SIZER, II, ET AL.			
	6,301,401	10/01	LA			
	5,345,529	09/94	SIZER, II, ET AL.			
	4,989,934	02/91	ZAVRACKY ET AL.			
	4,830,450	5/89	CONNELL ET AL.			
	4,826,272	5/89	PIMPINELLA			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUB CLASS
	JP A-10-339824	12/98	JAPAN			
	EP 0 889 533 A2	1/7/99	EUROPE			
	EP 0 613 032 A2	8/31/94	EUROPE			
	JP 2000-35504	2/2/00	JAPAN			
	JP 8-110443	4/30/96	JAPAN			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)						
EXAMINER					DATE CONSIDERED	
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

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		APPLICANT(S) Kazunori SAKURAI et al.			
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U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	6,100,595	8/00	JAOUEN		
	5,675,684	10/97	HIRATAKA		
	6,269,209	7/01	TERADA		"
	6,214,643	4/01	CHIU		"
	4,656,352	4/87	LANGENWALTER ET AL.		"
FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS
	JP 9-159882	6/20/97	JAPAN		
	JP 9-318834	12/12/97	JAPAN		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)					
EXAMINER			DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

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